

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5863596
<b>Application Number:</b>	09992348
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7197
<b>Title of Invention:</b>	Credit risk managing loan pools
<b>First Named Inventor/Applicant Name:</b>	Margaret Sue Ellis
<b>Customer Number:</b>	20686
<b>Filer:</b>	Gregory P. Durbin/Ginny Sawatzke
<b>Filer Authorized By:</b>	Gregory P. Durbin
<b>Attorney Docket Number:</b>	1366/US
<b>Receipt Date:</b>	11-AUG-2009
<b>Filing Date:</b>	21-NOV-2001
<b>Time Stamp:</b>	18:00:42
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1920
RAM confirmation Number	3809
Deposit Account	041415
Authorized User	

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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	NPL Documents	09-991762_2007-01-09_RestrictionRequirement.PDF	197663	no	6
			b79eaa3996bd444e24160b9ed25e579cba62973d		
Warnings:					
Information:					
2	NPL Documents	09-991762_2007-03-07_AmendmentandResponsetoRestrictionRequirement.PDF	776444	no	18
			8a09d0f0c61b4d1e4a27fcbaf7f862b8869f436dd		
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3	NPL Documents	09-991762_2007-05-21_NonFinalOAandPTO892.PDF	379843	no	10
			694d4037f12816e9842e564903cc41d9e8349dbc		
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4	NPL Documents	09-991762_2007-09-05_TerminalDisclaimer.PDF	73395	no	1
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5	NPL Documents	09-991762_2007-09-05_Response to OA.PDF	92422	no	3
			274d2ce105c4bf79a383fdbf247d8d1cbc571eaa		
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6	NPL Documents	09-991762_2007-11-28_NonFinalOAandPTO892.PDF	547048	no	14
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9	NPL Documents	09-991762_2008-08-22_FinalIO AandPTO892.PDF	534346	no	14
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10	NPL Documents	09-991762_2009-02-23_Amend mentandResponsetoFinalOA. PDF	698092	no	14
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11	NPL Documents	09-991762_2009-03-16_NonFin alOAandPTO892.PDF	516730	no	14
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13	NPL Documents	11-871316_2009-06-26_Amend mentandResponsetoNon- FinalOA_pp11.PDF	460546	no	11
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20	NPL Documents	11-871548_2008-05-28_NonFin alOAandPTO892_pp11.PDF	433904 15e4a3da0eb61434d4afd74e1318db024b3a9cef	no	11
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21	NPL Documents	11-871548_2008-11-26_Amend mentandResponsetoNonFinalO A_pp7.PDF	298600 587faa4aa0fd2314d228591a8bea0e88f0ba233c	no	7
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22	NPL Documents	11-871548_2009-03-18_FinalO A_pp12.PDF	610362 d45454ca78afc04bf24fd4b0432d1a1cae472b88	no	12
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23	Request for Continued Examination (RCE)	RequestForContinuedExaminat ion_1366US_.PDF	76534 3c9208b8c1ad3e97ac334b3e1e7f5b1b5d1758fd	no	1
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24	Extension of Time	CombinedAmendmentandPeti tionForExtensionofTime_1366 US_.PDF	73792 95c0bad7b13993a30d75ce3ddad52608ce80798a	no	2
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25		AmendmentandResponsetoFin alOA_1366US_.PDF	800730 8fd815cba965f6ad97800e4a483ef8ef2c7308ef	yes	17
	<b>Multipart Description/PDF files in .zip description</b>				
	<b>Document Description</b>		<b>Start</b>	<b>End</b>	
	Amendment Submitted/Entered with Filing of CPA/RCE		1	1	

	Claims		2	10
	Applicant Arguments/Remarks Made in an Amendment		11	17
<b>Warnings:</b>				
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26	Transmittal Letter	IDSandStatementofRelatedness_1366US_.PDF	88374 6998f3373f8d1739c32ccabd9d17a906da1c bdb5	no 3
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27	Information Disclosure Statement (IDS) Filed (SB/08)	PTOSB08AandB_1366US_.PDF	131828 7a8e8c85377a7fea37c622db4c7db578dd3 bc41d	no 3
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This is not an USPTO supplied IDS fillable form				
28	Fee Worksheet (PTO-875)	fee-info.pdf	32291 329237dd6f5893bbb6ce22f85ec12ca6133 87906	no 2
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<b>Information:</b>				
<b>Total Files Size (in bytes):</b>			10131129	
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>				